

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

-----x	
	:
In re	:
	:
LEHMAN BROTHERS HOLDINGS INC., et al.,	:
	:
Debtors.	:
	:
-----x	
	Chapter 11 Case No.
	08-13555 (SCC)
	(Jointly Administered)
	Ref. Docket No. 51171

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On October 23, 2015, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez
Lauren Rodriguez

Sworn to before me this
5th day of November, 2015

/s/ Sidney J. Garabato
Notary Public, State of New York
No. 01GA6218946
Qualified in New York County
Commission Expires March 15, 2018

EXHIBIT A

08-13555-mg
Epiq Bankruptcy Solutions, LLC
PO BOX 4470
Beaverton, OR 97076-4470

Doc 51358

Filed 11/05/15

Entered 11/05/15 17:52:14

Main Document

Pg 3 of 6

Legal Documents Enclosed
Please direct to the attention
of the Addressee,
Legal Department or President



LBH DEFTRFNTC (ADDRESS2, ADRKEYID3) 13902

To: BAR(23) MAILID *** 000099508092 ***



BANK J. SAFRA SARASIN LTD.
CLEARLY GOTTLIEB STEEN & HAMILTON LLP
ATTN: ANDRE A. BERNSTEIN, ESQ.
12, RUE DE TILSITT
PARIS 75008
FRANCE

THE REMAINDER OF THIS PAGE
INTENTIONALLY LEFT BLANK

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

BAR(23) MAILID *** 000099508092 ***

LBH DEFTRFNTC (ADDRESS2, ADRKEYID3) 13902



BANK J. SAFRA SARASIN LTD.
CLEARLY GOTTLIEB STEEN & HAMILTON LLP
ATTN: ANDRE A. BERNSTEIN, ESQ.
12, RUE DE TILSITT
PARIS 75008
FRANCE

BANK J. SAFRA SARASIN LTD.
BAER & KARRER LTD.,
ATTN. PETER HSU
BRANDSCHENKESTRASSE 90
ZURICH CH-8027
SWITZERLAND

SWISSQUOTE BANK SA
ATTN: DAVID SOUSA; BACK OFFICE & BANKING APPLICATIONS
CH.DE LA CRETAUX 33
GLAND CH-1196
SWITZERLAND

Your transfer of claim # 60416 is defective for the reason(s) checked below:

Other CATEGORY 56 ISIN CLAIM DISREGARDED PURSUANT TO COURT ORDER

Docket Number 51171

Date: 09/25/2015

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

EXHIBIT B

Claim Name	Address Information
BANK J. SAFRA SARASIN LTD.	BAER & KARRER LTD., , ATTN. PETER HSU, BRANDSCHENKESTRASSE 90, ZURICH CH-8027 SWITZERLAND
BANK J. SAFRA SARASIN LTD.	CLEARLY GOTTLIEB STEEN & HAMILTON LLP, ATTN: ANDRE A. BERNSTEIN, ESQ., 12, RUE DE TILSITT, PARIS 75008 FRANCE
SWISSQUOTE BANK SA	ATTN: DAVID SOUSA; BACK OFFICE & BANKING APPLICATIONS, CH.DE LA CRETAUX 33, GLAND CH-1196 SWITZERLAND

Total Creditor Count 3